

Grants

Frequently Asked Questions

• Why is the Foundation the legal applicant for all grants and contracts for the University?

Many outside agencies cannot contract with entities outside the infrastructure of the State of California to perform services. As a result, the allow each CSU campus to receive external funds for research and services, the California Code of Regulations, Title 5, sections 42400-42402 establishes auxiliary units (Cal Poly Pomona Foundation, Inc.) as the legal business entity on campus for all external funded programs in the CSU. In addition, according to CSU Executive Order 168, only the president or his representative may negotiate a contract on behalf of the University.

• Who is authorized to sign contracts on behalf of the Foundation?

Per CSU Executive Order 168, all contracts and other legal documentation relating to research projects must be signed by G. Paul Storey, Executive Director of Cal Poly Pomona Foundation, Inc. A legal document that has been signed by anyone other than the Foundation's Executive Director or his designee will represent a nonbinding contract on behalf of the Foundation.

• What is the Office of Research and Sponsored Programs?

The Office of Research and Sponsored Programs, a unit within Academic Affairs, acts as the middleman between the faculty member and the Foundation to assure the project review process is performed in a manner that will not jeopardize any preliminary negotiations that the faculty member has conducted, assuming that the faculty member has not committed to any conditions which are in violation of State or Federal regulations governing research and sponsored programs at the University.

• What is an External Funding Form?

The External Funding Form is used to obtain approval and endorsement by on-campus personnel for the project proposal. This form must be completed for every proposal prior to leaving campus. These forms are available from each college Dean's office or from the Office of Research and Sponsored Programs located in Building 1, Room 224. Please note, no proposal is allowed to leave campus without the approval of the Director of Research and Sponsored Programs.

• What is Indirect Cost Recovery?

When the University is awarded a grant and/or contract, there are operating costs to the University which are directly related to the project (library resources, building maintenance, human resources, accounting, classroom or laboratory space, etc.). These costs are not readily identifiable with a particular project but are necessary to the general operation of the institution. As a result, Cal Poly Pomona Foundation, Inc., has negotiated an indirect cost recovery rate with the Department of Health and Human Services which is applied to recover the unreimbursed expenditures incurred at the University on behalf of sponsored programs.

• **What happens to Indirect Cost Recovery once it is collected?**

Indirect cost recovery is collected by Academic Affairs where a percentage is returned to the College to fund further research projects and aid in the educational mission of the college.

• **Does the Foundation charge a fee for administering a sponsored program?**

The Foundation provides the administration of grants and contracts at no cost to the project.